

SOLO(K) FEE SCHEDULE

Set-Up

1

Includes:

- Adoption Agreement Base Fee
- Summary Plan Description (SPD)
- Consent of Action/Board of Directors Resolution
- Loan Policy
- 408(b)(2) Disclosure
- \$200 Rush Fee will apply for startup plans received 15 days prior to Deferral deadline of 12/31 and applicable tax deadline for Profit Sharing only for previous plan year

New Plan

\$250

Annual Admin

2

Includes:

- Sending out Year-End Questionnaire
- Verify if Accounts are over \$250k
- Form 5500EZ/SF (if over \$250k)

Base Fee

Under 250k

Over 250k

\$100

\$300

MISCELLANEOUS FEES

Employer

3

These Fees will be added to the employers invoice (if needed) per event

- Plan Amendment (including IRS Mandatory Amendments) \$125/Amendment
- Extension for Form 5500EZ \$150/Extension
- Retroactive Form 5500EZ/SF Preparation \$200/Year Needed
- Profit Sharing/Match Calculations Assistance \$200
- Audit Assistance \$100/Hour
- Plan Restatement with SPD. \$250/required every 6 years
Annual Document Maintenance may be added at startup of plan or after Restatement \$75
- Plan Termination \$250
- Service Termination (fees may apply if additional documentation is needed) \$200
- Self Employed Earned Income Determination \$175/Hour
(Sole Prop, Partnership, K1, Schedule C income)
- Participant Loans - Set-up \$100
- Annual Loan Administration (all years billed up front with set-up) \$25
- Participant Distributions \$100
- QDRO Divorce Account Balance Split \$350 (minimum)
- Accounts - Self Directed Brokerage/Life Insurance Policies \$100
- Form 1099R/1096 \$25

- * Failure to provide NAI Duplicate Statements \$125/Year