

## SOLO(K) FEE SCHEDULE: Eff 1/1/2018 for new plans to NAI\*

### Set-Up

# 1

#### Includes:

- **Adoption Agreement**
- **Summary Plan Description (SPD)**
- **Concent of Action/Board of Directors Resolution**
- **Loan Policy**
- **408(b)(2) Disclosure**

	Base Fee	New Plan
	<b>\$250</b>	
	Per Participant Fee	<b>\$0</b>

\$200 Rush Fee will apply for startup plans received after 12/15 of given year

### Annual Admin

# 2

#### Includes:

- **Sending out Year-End Census Request**
- **Verify if Accounts are over \$250k**
- **Form 5500EZ (if over \$250k)**

	Base Fee	Under 250k	Over 250k
	<b>\$100</b>	<b>\$100</b>	<b>\$300</b>
	Per Participant Fee	<b>\$0</b>	<b>\$0</b>

**\*Current clients brought in prior to 1/1/2018 will remain on \$50/year fee until 1/1/2019**

## MISCELLANEOUS FEES

### Employer

# 3

**These Fees will be added to the employers invoice** (if needed) per event

- **Plan Amendment** . . . . . **\$125/Amendment**
- **Extention for Form 5500 EZ** . . . . . **\$150/Extension**
- **If Form 5500 or Form 5500SF needed** (instead of Form5500EZ) . . . . . **\$500**
- **Profit Sharing/Match Calculations Assistance** . . . . . **\$200**
- **Audit Assistance** . . . . . **\$100/Hour**
- **Plan Restatement with SPD** (includes interim amendments) . . . . . **\$400/required every 6 years**
- **Plan Termination** . . . . . **\$250**
- **Service Termination** (fees may apply if additional documentation is needed) . . . . . **\$0\***
- **Self Employed Earned Income Determination** . . . . . **\$175/Hour**  
(Sole Prop, Partnership, K1, Schedule C income)
- **Participant Loans - Set-up** . . . . . **\$100**
- **Annual Loan Administration** (all years billed up front with set-up) . . . . . **\$25**
- **Participant Distributions** . . . . . **\$100**
- **Tax withholding on Distributions** . . . . . **\$100**
- **QDRO Divorce Account Balance Split** . . . . . **\$250**(minimum)
- **Accounts - Self Directed Brokerage/Life Insurance Policies.** . . . . . **\$100**
- **Form 1099R/1096** . . . . . **\$25**

\* if with NAI for 5 years or more

\$200 Non-Compliance Filing Fee will apply for takeover plans received after 4/15/2016. Deadline was 4/30/2016 for this Restatement Period, then every 6 years thereafter.